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(54) Title: TRANSFORMATION OF ALLIUM SP. WITI	H AGR	OBACTERIUM USING EMBRYOGENIC CALLUS CULTURES
(57) Abstract		
The present invention relates to a method for transfo	orming	Allium species with a heterologous gene using Agrobacterium.

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# Transformation of *Allium sp.* with Agrobacterium Using Embryogenic Callus Cultures

#### Technical Field of the Invention

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The present invention relates to a method for transforming *Allium* species with a heterologous gene using *Agrobacterium*.

#### **Background of the Invention**

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Transformation in onion has eluded the scientific community. Initial work on the crop centered around use of biolistics as a means of transforming vegetable monocots (Eady, C.C., Weld, R.J. & Lister, C.E. Transformation of onion, *Allium cepa* L., *Proc. Nat. Onion Research Conference*, Sacramento, CA. USA, Dec. 10-12, 1998). No convincing reports were published showing success using this approach. Recent success was reported in transformation of rice, wheat and corn, using *Agrobacterium* based approaches (U.S. Patent 5,591,616). These reports lead to use of *Agrobacterium* for transformation in monocot vegetables. Recently, Eady (Eady, C.C., Weld, R.J. & Lister, C.E. Transformation of onion, *Allium cepa* L, *Proc. Nat. Onion Research Conference*, Sacramento, CA. USA, Dec. 10-12, 1998) at Crop and Food, NZ, reported on successful transformation of onion using *Agrobacterium* with a kanamycin selectable marker and a Green Florescent Protein (GFP) scoreable marker.

#### **Summary of the Invention**

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In one embodiment, the present invention relates to a method for transforming an Allium species, such as Allium cepa or Allium fistulosum, with a heterologous gene. Specifically, the method involves contacting embryogenic callus material from an Allium species with a bacterium belong to the genus Agrobacterium which contains a heterologous gene. The embryogenic callus material is preferably derived from immature embryos or flower buds from an Allium species. Preferably, the Agrobacterium is Agrobacterium rhizogenes or Agrobacterium tumefaciens and contains a Ti or Ri plasmid. The heterologous gene can be the EPSPS or modified EPSPS gene.

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In another embodiment, the present invention further relates to a method for transforming an Allium species with a heterologous gene. The first step of the method involves culturing immature embryos or flower buds from an Allium species such as Allium cepa or Allium fistulosum on an initiation medium for a period of from about 2 to about 6 months until embryogenic callus material forms on the embryos or flower buds. Preferably, the immature embryo or flower buds are cultured on the initiation medium in the dark and at a temperature of from about 25°C to about 30°C. The next step of the method involves transferring the embryogenic callus material to a coculture medium and contacting the embryogenic callus material with a suspension of Agrobacterium rhizogenes or Agrobacterium tumefaciens containing a heterologous gene. The next step involves incubating the embryogenic callus with Agrobacterium rhizogenes or Agrobacterium tumefaciens for a period of from about 2 to about 4 days. The next step involves removing the Agrobacterium rhizogenes or Agrobacterium tumefaciens from the transformed embryogenic callus material. The final step involves regenerating the transformed embroygenic callus material into transformed Allium plants containing the heterologous gene.

Finally, the present invention relates to an *Allium* species transformed by either of the hereinbefore described methods and progeny thereof.

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#### **Detailed Description of the Invention**

The present invention relates to a method for transforming onion with a heterologous gene using Agrobacterium mediated transformation. Any type of onion can be transformed using the method of the present invention, such as, but not limited to Allium cepa and Allium fistulosum. As used herein, the term "heterologous" when used to describe a gene refers to a gene that originates from a foreign species, or, if from the same species, is substantially modified from its original form. For example, a promoter operably linked to a heterologous structural gene is from a species different from that from which the structural gene was derived, or, if from the same species, one or both are substantially modified from their original form.

The method of the present invention employs nodular embroygenic callus material. This embryogenic callus material is preferably derived from immature embryos or from flower buds using techniques which are well known in the art. For example, immature embryos can be obtained from up to fourteen (14) day old post-pollinated flowers. Immature flower buds can be obtained from unopened umbels from an onion.

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Once the immature embryos or flower buds are obtained, they are placed on a callus initiation medium such as the initiation medium described in Table A as media number one (#1) and kept under appropriate environmental conditions, specifically, in the dark and at a temperature between from about 25°C to about 30°C, to allow the formation of callus. Other initiation media which induce the formation of callus which are well known in the art, can also be used. For example, any salt formulation media, such as but not limited to, Murshige and Skoog (MS) (Murshige T., Skoog F. (1962) Physilogia Plantarum 15:473-497), B-5 (Gamborg, O. L., R. A. Miller, and K. Ojima (1968) "Nutrient requirements of suspension cultures of soybean root cells" Exp. Cell Res. 50: 148-151), Heller (Heller, R. (1953) "Recherches sur la nutrition minerale des tissus vegetaux cultivers in vitro." Ann. Sci. Natl. Biol. Veg. 14: 1 223), White (White. P. R. "Nutrient deficiency studies and an improved inorganic nutrient medium for cultivation of excised tomato roots." Growth 7: 53 (1943), which contain a high concentration of auxins (such as indole acetic acid (IAA)), 2,4-diclorophenoxy acetic acid, picloram, indole butyric acid (IBA) as well as a carbon source (such as glucose, sucrose, etc) can be used.

After about two (2) to six (6) months, a nodular embryogenic callus forms on the embryos or flowers. The callus is maintained by subculturing every four (4) weeks, keeping the culture in the dark at a temperature between about 25°C to about 30°C. During this period, any tissue which is not nodular embryogenic callus is removed from the culture. Specifically, the removal of brown or smooth textured tissue and of tissue with anthocyanin or sticky exudates faciliates the development of the nodular

embryogenic callus. The nodular embryogenic callus is the material suitable for transformation with *Agrobacterium*.

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For regeneration, the nodular embryogenic callus is transferred to a regeneration medium such as the regeneration medium provided for in Table A as media number two (#2) and is placed under Cool White fluorescent light for about fourteen (14) to about eighteen (18) hours per day at a temperature between about 25°C to about 30°C. Other regeneration media which are well known in the art can also be used. For example, any salt formulation medium, such as, but not limited to, Murshige and Skoog (MS), B-5, Heller, White, which contains low levels of cytokinins (such as benzylaminopurine (BA), kinetin, 6-dimethyallyaminopurine (2IP) and a carbon source (such as glucose, sucrose, etc.) can also be used.

Any desired heterologous or target gene can be introduced into *Allium sp.* using the method of the present invention. The heterologous gene used in the method of the present invention encodes for the expression of a protein, such as the 5-enolpyruvyl-3-phosphate synthase enzyme, which conveys resistance to the glyphosate herbicide. The desired heterologous gene to be inserted into onion can be isolated using molecular biology techniques which are well known in the art or can be produced synthetically using molecular biology techniques which are also well known in the art.

As discussed in the previous paragraph, an example of a heterologous gene that can be used in the method of the present invention is a gene which encodes for the 5-enolpyruvyl-3-phosphate synthase enzyme, which conveys resistance to the glyphosate herbicide. As is well known in the art, glyphosate inhibits the shikimic acid pathway which leads to the biosynthesis of aromatic compounds including amino acids, plant hormones and vitamins. Specifically, glyphosate curbs the conversion of phosphoenolpyruvic acid and 3-phosphoshikimic acid to 5-enolpyruvyl-3-phosphoshikimic acid by inhibiting the enzyme 5-enolpyruvyl-3-phosphate synthase (hereinafter referred to as "EPSPS" or "EPSP synthase"). It is well known that glyphosate-tolerant plants can be produced by inserting into the genome of the plant the

capacity to produce a higher level of EPSP synthase in the chloroplast of the cell which enzyme is preferably glyphosate-tolerant.

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Many EPSP synthase genes and the use of these genes to transform plants to make plants which are tolerant to glyphosate herbicides are well known in the art. For example, the nucleotide sequence for the mutant E. coli EPSP synthase aroA gene was determined by the method of Sanger, et al. (Proc. Natl. Acad. Sci. USA 74:5463) and the corresponding amino acid sequence for the encoded EPSP synthase deduced therefrom. U.S. Patent 4,769,061 discloses a mutated aroA gene which expresses 5-enolpyruvyl-3phosphoshikimate synthase (EC: 2.5.1.19) (ES-3-P synthase) and methods for making plants which express this mutated gene and which exhibited enhanced resistance to glyphosate herbicides. U.S. Patent 4,940,835 discloses a cloning or expression vector comprising a gene which encodes EPSPS polypeptide which, when expressed in a plant cell contains a chloroplast transit peptide which allows the polypeptide, or an enzymatically active portion thereof, to be transported from the cytoplasm of the plant cell into a chloroplast in the plant cell, and confers a substantial degree of glyphosate resistance upon the plant cell and plants regenerated therefrom. U.S. Patent 5,188,642 discloses how to use the vector described in U.S. Patent 4,940,835 to selectively control weeds in a field. U.S. Patents 5,145,783, 4,791,908 and 5,312,910 describe plant genes, methods for producing said genes and vectors containing these genes which encode a glyphosate-tolerant EPSP synthase where the EPSP synthase has an alanine residue substituted for a glycine residue in a conserved sequence found between positions 80 and 120 in the mature wild-type EPSP synthase. U.S. Patents 5,627,061 and 5,310,667 discloses plant genes encoding EPSP synthases and methods for preparing said genes which are prepared by substituting an alanine residue for a glycine residue in a first conserved sequence found between positions 80 and 120, and either an aspartic acid residue or asparagine residue for a glycine residue in a second conserved sequence found between positions 120 and 160 in the mature wild type EPSP synthase. U.S. Patents 5,633,435 and 5,804,425 disclose a modified EPSPS gene from Agrobacterium sp. strain CP4. U.S. Patent 5,866,775 discloses plant genes which encode a glyphosate-tolerant EPSP synthase where the EPSP synthase has an alanine residue substituted for a glycine

residue in a conserved sequence found between positions 80 and 120 and a threonine residue for an alanine residue in a second conserved sequence found between positions 170 and 210 in the mature wild-type EPSP synthase. Additional EPSP synthase genes are disclosed in Padgette et al., *Herbicide Resistant Crops*, Lewis Publisher pages 53-85 (1996). Thereupon, any of the hereinbefore described EPSPS genes can be used in the method of the present invention.

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The heterologous gene to be expressed in onion can be used to construct an expression cassette which will be introduced into onion. The construction and composition of expression cassettes is well known in the art. Specifically, the elements of the expression cassette are the heterologous gene, a promoter and a termination DNA segment. The heterologous gene is operatively linked to a promoter DNA segments which controls the expression of the heterologous gene. As used herein, the term "operatively linked"includes reference to a functional linkage between a promoter and the heterologous gene, wherein the promoter sequence initiates and mediates transcription of the DNA sequence corresponding to the heterologous gene. Generally, operably linked means that the nucleic acid sequences being linked are contigous and, where necessary to joint two protein coding regions, contagious and in the same reading frame. This promoter is not repressed by a product of normal onion metabolism, and can be a constitutive promoter such as the CaMV 35S, octopine synthase promoter (P-Ocs) and nopaline synthase promoter (P-Nos) promoters, or organ-enhanced promoters that cause expression in one or more limited organs of the transformed onion.

The final element in the expression cassette is a termination DNA segment that is operatively linked to the 3' end of the heterologous gene. Several termination segments useful in plants are well known in the art and can be used herein. One exemplary segment is the 3' non-translated region of the nopaline synthase gene (Nos-T). Another is the 3'-non-translated region of the pea rbcS-E9 gene.

In addition, the expression cassette can contain a marker gene which confers a selectable phenotype on the onion cells. For example, the marker may encode biocide

resistance, particularly antibiotic resistance, such as resistance to kanamycin, G418, bleomycin, hygromycin, or herbicide resistance, such as resistance to glyphosate or chlorosulforon.

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An expression cassette containing the heterologous gene can be introduced into onion using the Ti plasmid of Agrobacterium tumefaciens or the Ri plasmid of Agrobacterium rhizogenes. The Ti or Ri plasmid is transmitted to plant cells on infection by Agrobacterium and is stably integrated into the plant genome. Ti and Ri plasmids contain two regions essential for the production of transformed cells. One of these, named transfer DNA (T-DNA), is transferred to plant nuclei and induces tumor or root formation. The other, termed the virulence (vir) region, is essential for the transfer of the T-DNA but is not itself transferred. The T-DNA will be transferred into a plant cell even if the vir region is on a different plasmid. The transferred DNA region can be increased in size by the insertion of heterologous DNA without its ability to be transferred being affected. Thus, a modified Ti or Ri plasmid, in which the disease-causing genes have been deleted, can be used as a vector for the transfer of the gene constructs of this invention into an appropriate plant cell. Construction of recombinant Ti and Ri plasmids in general follows methods typically used to introduce additional DNA into the more common bacterial vectors, such as pBR322. Additional use can be made of accessory genetic elements sometimes found with the native plasmids and sometimes constructed from foreign sequences. These may include, but are not limited to, "shuttle vectors" and structural genes for antibiotic resistance as a selection factor.

The nodular embryogenic callus material prepared as described above is then contacted with the Ti or Ri plasmid of Agrobacterium tumefaciens or Agrobacterium rhizogenes which contains the expression cassette with the heterologous gene. After the embryogenic callus material is contacted with the Agrobacterium, it is then incubated for about two (2) to about four (4) days at a temperature of about 20°C to about 25°C in the dark. After the incubation period, the Agrobacterium is removed or disinfected such as by scraping callus tissue into a dish with wash media, such as the wash medium described in Table B, agitating it and then removing the wash medium.

After removal of the *Agrobacterium*, the washed embryogenic callus material is transferred to a selection medium, such as the selection medium described in Table A as media number four (#4). Other selection media, which are well known in the art, such as media containing the antibiotic kanamycin, can also be used. The callus cultures are grown under Cool White fluorescent light for about 14 to about 18 hours per day at a temperature between about 25°C to about 30°C.

After about thirty (30) days, the callus is subcultured onto a second higher selection media, such as the selection medium described in Table A as media number five (#5), for all following transfers. Selection transfers are done every four (4) weeks per subculture.

Any remaining callus which is living and is producing embryos or plants is then transferred to the rooting media in 0.05 mM glyphosate which is described in Table A as media #6 for final regeneration. Other rooting media which are well known in the art can also be used. The regenerating shoots are grown under Cool White fluorescent light for about 14 to about 18 hours per day at a temperature between about 25°C to about 30°C. Regenerated and rooted shoots are then transplanted into pots filled with soil under high light intensity, such as 1000 foot candles, and at near 100% relative humidity, such as by covering the pots with plastic.

The shoots are allowed to continue to grow and develop into transformed *Allium* plants which contain the heterologous gene. Transformed plants containing the heterologous gene described herein can be identified using techniques known in the art such as Northern or Southern Blotting or polymerase chain reaction.

By way of example and not of limitation, examples of the present invention will now be given.

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#### Example 1: Materials and Methods

a. Callus initiation- Immature embryos from onion, specifically, Allium cepa or Allium fistulosum, were isolated under a dissecting microscope from approximately 14 day post pollinated flowers. Flower heads can be shipped overnight from various breeding stations around the US, refrigerated and used as explant source for a period of about one (1) to about two (2) weeks. Individual flower buds were removed from the umbel and placed in a 15ml screw cap centrifuge tube. Full strength Clorox plus 0.5% Tween 20 were added to the tube and mixed every 2-3 minutes for 15 minutes. Clorox was removed and buds were washed 4 times with sterile Reverse Osmosis (RO) water. Embryos were isolated by placing the bud on a sterile Petri dish under a 40x dissecting microscope with the flower base facing up. Using a #11 scalpel, the base of the flower was cut to the point of just removing the bottom of the pollinated seed. The seed coat is black and the endosperm is milky to doughy in consistency. The embryos can be squeezed out of the incision on the bottom of the seed with forceps pressure on the top third of the flower bud. However, this procedure may not be successful with older flowers where the endosperm is harder and the embryo is larger. Under these conditions, the seed is extracted from the flower bud for individual embryo excision. These embryos are excised by slicing down the seed coat on the side where the embryo is located. The embryo is extracted from the seed through the incision. Embryos are lifted from the plate on the scapel tip and placed on callus initiation medium (described in Table A as medium #1). Embryos range in size from 1-5 mm.

Plates 60x20mm containing 40ml media can hold up to 25 embryos. A nodular callus forms on the embryo after about 2 to about 4 months. Callus is maintained by subculture for about 3 to about 4 weeks on callus medium #1 shown in Table A. Callus tissue is grown at about 28°C in the dark. Selection of nodular embryogenic tissue is important at each subculture. Removal of brown or smooth consistency tissue, tissue with anthocyanin or sticky exudates promotes development of embryogenic callus.

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b. Callus regeneration- Nodular selected tissue is transferred to 60x20mm plates containing 40ml of regeneration medium (described in Table A as medium #2). Cultures are placed under 100 foot candles of Cool White fluorescent light for 16 hours per day at a temperature of about 28° C. Tissue is subcultured at about 3 to about 4 weeks, with embryo regeneration seen at 6-8 weeks.

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c. Callus transformation- Agrobacterium tumefaciens cultures are initiated from streaked plates of freezer stock. Two loops of plate stock or 100ul of freezer stock are placed in 5ml YEP medium (described in Table B) containing appropriate antibiotics in a 25x150mm tube and placed on a roller drum in room light. Overnight cultures are subcultured by adding 5ml of the overnight culture to 50ml of AB medium (described in Table B) with antibiotics and grown in the dark overnight at 28°C on a gyratory shaker. The next day identified regenerable callus is placed on glass filter paper over co-culture medium (described in Table A as medium #3). Callus tissue is placed on the filter paper at a moderate density. Only nodular tissue is selected for transformation. Overnight Agrobacterium cultures are adjusted to an optical density (OD) of from about 0.1-0.4, preferably 0.4, at 660nm with dilution medium (Table B). Diluted cultures are drawn into a plastic sterile transfer pipette. Callus tissue is dabbed with the end of the pipette so a small amount of solution covers the callus tissue. Each callus piece in the plate is touched. The plates are sealed with Parafilm, placed in a black plastic box and incubated at 23°C for 3 days. On day three, Agrobacterium is removed by scraping tissue into a 60x20mm plate containing 10ml of wash medium as described in Table B. Tissue is agitated with a transfer pipette followed by removal of the wash. Tissue is scraped into 40ml selection media (described in Table A as medium #4) in a 60x20mm Petri dish and sealed with Parafilm. Cultures are grown under 100 foot candles Cool White florescent light for 16hr/day. After one month, callus is subcultured into a second selection media (described in Table A as medium #5) for 2 transfers and back to selection media #4 (described in Table A) for 1 transfer. Any living callus is transferred to medium #2 (described in Table A) without selection for final regeneration. Regenerating embryos are placed on 50ml rooting medium (described in Table A as medium #6) in Magenta containers and grown under similar light conditions.

#### **Example 2: Specific Experiments**

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Experiment 212. Callus material used in this experiment was initiated from immature embryos from proprietary Allium cepa breeding material owned by Seminis Vegetable Seeds, Inc. Pollinated flowers were sent from Las Cruses, New Mexico to Woodland, California and immature embryos were isolated, using the procedures described in Example 1a from 11 proprietary Allium cepa lines. Callus, recently subcultured for seventeen days, from the proprietary Allium cepa lines 197,195, 193 and 248 were cocultured on medium #3 (described in Table A) for three days with disarmed Agrobacterium strain ABI containing Monsanto CP4 construct pMON10147 (Monsanto Company, St. Louis, Missouri). The construct pMON10147 contains the enhanced 35S promoter from figwort mosaic virus (which is disclosed in U.S. Patent 5,633,435, hereby incorporated by reference), the leader sequence from the Petunia heat shock protein 70 (HPS70) (disclosed in Winter J., et al., Mol. Genet. 211:315-319 (1988), hereby incorporated by reference), the chloroplast transit peptide sequence (CTP2) of the 5enolpyruvylshikimate-3-phosphate synthase gene (EPSPS) from Arabidopsis thaliana which is also disclosed in U.S. Patent 5,633,435, the "modified" EPSPS gene from Agrobacterium sp. strain CP4 which is disclosed in U.S. Patent 5,633,435 and the 3' region from the small subunit of ribulose-1,5-bisphosphate gene from Pisum sativum (E9) which is also disclosed in Coruzzi, G., et al., EMBO J. 3:1671 (1984) and Morelli, G., et al., Nature, 315:200-204 (1985), hereby incorporated by reference.

The construct also contains the 35S promoter from cauliflower mosaic virus (CaMV), the chloroplast transit peptide sequence of the small subunit 1a (SSU1a) gene from *Arabidopsis thaliana* (disclosed in Timko M P., Herdies L., Alameida E., Cashmore A R., Leemans J. & Krebbers E. (1988) Genetic engineering of nuclear-encoding components of the photosynthetic apparatus of Arabodopsis. *In* The impact of chemistry on biotechnology – a multidisiplinary discussion- (Phillips M., Schoemaker S.P., Middlekauff D. & Ottenbrite R.M. eds) ACS Books, Washington DC, pp. 279-295), herein incorporated by reference), the modified glyphosate oxidoreductase gene

(GOXsyn) from Achromobacter sp. (which is also disclosed in U.S. Patent 5,633,435) and the 3' region of the nopaline synthase gene (nos) from Agrobacterium tumafaciens T-DNA.

a. The binary ABI strain contains the disarmed (lacking the T-DNA phytohormones)
 pTiC58 plasmid pMP9ORK (Koncz, C. and Schell, J., 1986. "The Promoter of TL-DNA Gene 5 Controls the Tissue-Specific Expression of Chimeric Genes Carried by
 a Novel Type of Agrobacterium Binary Vector," *Mol. Gen. Genet.* 204: 383-396.), in
 a chloramphenicol resistant derivative of the Agrobacterium tumefaciens strain A208.

 The pMP9ORK Ti plasmid was engineered to provide the gene functions required for
 autonomous replication of the plasmid vector after conjugation into the ABI strain. It
 also provides the vir functions needed for transfer of the T-DNA into the plant cell.

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Callus was transferred, after washing, to callus medium #2 (described in Table A) without selection and grown in the dark. Callus was subcultured after 4 weeks on regeneration medium #4 (described in Table A) with 0.1mM glyphosate and moved to the light. Callus was cultured for 3 additional months, with monthly transfers on 0.1mM glyphosate selection (on medium #4 described in Table A) totaling 4 months. Callus line 248 initially established on Gelrite solidified medium (which is medium#1 described in Table A) produced 2 callus lines after glyphosate selection. These lines were subcultured on regeneration medium #2 (described in Table A) without selection. After 2 months, plants were placed on rooting medium #6 (described in Table A).

b. Experiment 268. This experiment employed additional immature embryos obtained from the proprietary line described above in Example 2a. These embryos underwent callus transformation as described above in Example 1c. Moreover, additional callus material used in this experiment was initiated from immature onion flower tissue which originated from proprietary onion line of Seminis Vegetable Seeds, Inc. which is derived from a cross of Allium fistulosum x Allium cepa. Amphidiploid plant materials of the original Allium fistulosum x Allium cepa cross (after colchicine-induced chromosome

doubling) was released by Gil McCollum at the U.S.D.A, Beltsville (Notice of Release of Onion Germplasm f-c 8434, 8492, 8497 and 8615, USDA, ARS, Feb. 2, 1988).

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To initiate callus from flowers, unopened umbels were cut and sterilized in 20% Clorox for 5 minutes then rinsed with sterile water. Whole flower buds were excised from the umbels and cultured 20 per plate on callus initiation medium #1 (described in Table A). Callus was maintained with monthly subcultures. Eleven flower callus lines were tested for regeneration and found not to regenerate at the frequency of immature embryo derived material. Flower callus line 290011, identified as a regenerating line, was used in experiment 268 along with 16 other embryo derived or flower derived callus lines. Callus was 15 days into its most recent subculture. Callus was cocultured for 3 days with ABI bacteria containing the Monsanto CP4 construct pMON45312 (Monsanto Company, St. Louis, Missouri). Construct pMON45312 contains the enhanced 35S promoter from figwort mosaic virus (FMV) (which is disclosed in U.S. Patent 5,633,435, hereby incorporated by reference), the chloroplast transit peptide sequence (CTP2) of the 5-enolpyruvylshikimate-3-phosphate synthase gene (EPSPS) from Arabidopsis thaliana (which is also disclosed in U.S. Patent 5,633,435), the leader sequence from the soybean heat shock protein (native 17.9) (disclosed in Arfchke, E., et al., J. Molec. Bio. 199:549-557 (1988), herein incorporated by reference), the "modified" EPSPS gene from Agrobacterium sp. strain CP4 (which is also disclosed in U.S. Patent 5,633,435), and the 3' region from the small subunit of ribulose-1,5-bisphosphate gene from Pisum sativum (E9) which is also disclosed in Coruzzi, G., et al., EMBO J. 3:1671 (1984) and Morelli, G., et al., Nature, 315:200-204 (1985), hereby incorporated by reference.

The ABI binary *Agrobacterium* strain pTiC58 contains the disarmed (i.e. lacking the T-DNA phytohormone genes) plasmid pMP9ORK (Koncz, C. and Schell, J., 1986. "The Promoter of TL-DNA Gene 5 Controls the Tissue-Specific Expression of Chimeric Genes Carried by a Novel Type of Agrobacterium Binary Vector," *Mol. Gen. Genet.* 204: 383-396), in a chloramphenical resistant derivative of the *Agrobacterium tumefaciens* strain A208. The pMP9ORK Ti plasmid was engineered to provide the gene functions

required for autonomous replication of the plasmid vector after conjugation into the ABI strain.

Tissue was inducted after washing on regeneration medium #4 (described in Table A) containing 0.05mM glyphosate and grown in the light. After one month, callus was moved to regeneration media #5 (described in Table A) containing 0.1mM glyphosate for 2 transfers. Callus was transferred back to 0.05mM glyphosate regeneration media #4 (described in Table A) for one month. Selected green callus areas were placed on regeneration media #2 (described in Table A) without selection for 2 months. Developing embryos were transferred to elongation rooting medium #6.

#### Example 3: Discussion

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The choice of tissue for transformation in onion or any plant culture system is critical for successful production of transgenic plants. Experiment 212 used immature embryo derived callus of a proprietary *Allium cepa* line. Two selected callus lines which were transformed were regenerated from this experiment aided by the use of a regenerating embryogenic callus line as the initial tissue source.

Immature flowers may also be used as a callus source. Experiment 268 discloses using onion flowers as callus source, however, the initial regeneration screen showed poor regeneration in flower derived callus. The regenerating flower tissue used in Experiment 268 came from a proprietary line which was a *Allium fistulosum* x *Allium cepa* cross that was doubled to become tetraploid. It appeared to be very vigorous in culture and was one of the only flower derived lines that regenerated.

Experiments 212 varies from 268 by selection procedure although both produced transgenic callus lines. Experiment 212 callus was placed on a callus medium without selection and grown the dark. After 1 month, callus was moved to the light and selected on 0.1mM glyphosate for 4 months. Experiment 268 was directly selected on 0.05mM glyphosate on a regenerating medium in the light followed by 2 months selection on

0.1mM glyphosate and a final selection on 0.05mm glyphosate. Experiment 268 produced more lines, however, different genotypes were used.

Delay of selection is used in soybean glyphosate transformation and should be tested further in the onion procedure, however, selection immediately after coculture, as in experiment 268, produced transgenic lines. The reduction of glyphosate selection was done in experiment 268 due to the fact that glyphosate accumulates in tissue and may overwhelm any engineered plant resistance. This is also why regeneration is done without glyphosate selective pressure.

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The present invention is illustrated by way of the foregoing description and examples. The foregoing description is intended as a non-limiting illustration, since many variations will become apparent to those skilled in the art in view thereof. It is intended that all such variations within the scope and spirit of the appended claims be embraced thereby.

Changes can be made to the composition, operation and arrangement of the method of the present invention described herein without departing from the concept and scope of the invention as defined in the following claims.

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### TABLE A

Onion Media	Callus #1	Regeneration #2	Coculture #3	Selection #4	Selection #5	Rooting #6
MS Salt B-5 Vitamins Sucrose Picloram	4.3 g/l 1ml/l 30g/l 1 mg/l	4.3 g/l 1 ml/l 30 g/l	4.3 g/l 1 ml/l 30g/l	4.3 g/l 1 ml/l 30 g/l	4.3 g/l 1 ml/l 30 g/l	4.3 g/l 1 ml/l 30 g/l
BA	0.9 mg/l	1 mg/l	1 mg/l	1 mg/l	1 mg/l	
Proline NaH₂PO₄	<b>g</b>	2.5 g/l	2.5 g/l	2.5 g/l	2.5 g/l	170 mg/l
Casein Kinetin			40 "			1 g/l 1 mg/l
Acetosyringone Carbenicillin Cefotaxime Glyphosate			40 mg/l	500 mg/l 400 mg/l 0.05mM	500 mg/l 400 mg/l 0.1mM	0.05mM
Agar // or Phytogel	7 g/l 2.5 g/l	7 g/l	7 g/l	7 g/l	7 g/l	6.2 g/l
pH	5.7	5.7	5.7	5.7	5.7	5.8

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## Table B

5	YEP Medium Peptone- 10 g/l
	Yeast extract- 10 g/l
	NaCl- 5 g/l
	AB Medium
10	Buffer: 20X Final Volume= 500ml
	K <sub>2</sub> HPO <sub>4</sub> . 3H2O- 39.33 g
	$NaH_2PO_4.H2O-11.5 g$
	Filter Sterilize and refrigerate
15	Salts: 20X Final Volume= 500ml
13	NH <sub>4</sub> Cl- 10g
	MgSO <sub>4</sub> .7H <sub>2</sub> O- 12.5g
	KCl- 1.5g
	CaCl <sub>2</sub> 0.1g
20	FeSO <sub>4</sub> 25mg
	Filter Sterilize and refrigerate
	Glucose-
	50 g/ 500ml
25	
	Dilution Medium-
	1/10  MSO + 1.0  mg/l BA + 2.5  g/l proline
	200uM Acetosyringone
	1mM galacturonic acid
30	20mM MES (2-[N-morpholino]ethanesulfonic acid)
	pH 5.4
	Wash
	MSO (MS medium plus minimal organics)
35	500ug/l Carbenicillin
	400 ug/l Cefotaxime

#### WHAT IS CLAIMED IS:

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1. A method for transforming an *Allium* species with a heterologous gene, the method comprising the step of: contacting embryogenic callus material from an *Allium* species with a bacterium belonging to the genus *Agrobacterium* which contains a heterologous gene.

- 2. The method of claim 1 wherein the Allium species is Allium cepa or Allium fistulosum.
- 3. The method of claim 1 wherein the bacterium belonging to the genus Agrobacterium is Agrobacterium rhizogenes or Agrobacterium tumefaciens.
- 4. The method of claim 1 wherein the bacterium belonging to the genus

  15 Agrobacterium contains a Ti plasmid or a Ri plasmid.
  - 5. The method of claim 1 wherein the heterologous gene is the EPSPS gene.
- 6. The method of claim 5 wherein the heterologous gene is a modified EPSPS gene.
  - 7. The method of claim 1 wherein the embryogenic callus material is derived from immature embryos or flower buds from an *Allium* species.
- 8. An *Allium* species transformed by the method of claim 1 and progeny thereof.
  - 9. A method for transforming an *Allium* species with a heterologous gene, the method comprising the steps of:
- a. culturing immature embryos or flower buds from an *Allium* species on an initiation medium for a period of from about 2 to about 6 months until embryogenic callus material forms on the embryos or flower buds;

b. transferring the embryogenic callus material to a coculture medium and contacting the embryogenic callus material with a suspension of *Agrobacterium rhizogenes* or *Agrobacterium tumefaciens* containing a heterologous gene;

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c. incubating the embryogenic callus material with the Agrobacterium rhizogenes or Agrobacterium tumefaciens for a period of from about 2 to about 4 days; and

d. removing the Agrobacterium rhizogenes or Agrobacterium tumefaciens from the transformed embryogenic callus material.

10. The method of claim 9 wherein the Allium species is Allium cepa or Allium fistulosum.

11. The method of claim 9 wherein the immature embryos or flower buds are cultured on the initiation medium in the dark and at a temperature of from about 25°C to about 30°C.

12. The method of claim 9 wherein the heterologous gene is the EPSPS gene.

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- 13. The method of claim 12 wherein the heterologous gene is a modified EPSPS gene.
- 14. The method of claim 9 further comprising the step of regenerating the
   transformed embryogenic callus material into transformed *Allium* plants containing the heterologous gene.
  - 15. An Allium species transformed by the method of claim 9 and progeny thereof.



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BOHMANN & LOOSEN
0 8, Mai 2002 <sub>Eing.</sub>
Frist
Erl.

Dalum/Date			_	
06.05.	02			

Zeichen/Ref./Réf.

S 10007 EP

Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°.

00932149.8-1212-US0012463

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire

Seminis Vegetables Seeds, Inc.

### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.



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If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.



		ERED TO BE RELEVANT	Balarrat	CI ACCIDIO ATION OF THE
Category	of relevant pas	ndication, where appropriate, sages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
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E	(CA); BOWLEY STEPHE 5 October 2000 (200	GUELPH ;ROJAS BRENDA N R (CA); DEVEREAUX A) O-10-05) - page 11, line 8 *	1-15	
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A	EADY C C: "Towards onions (Allium cepa NEW ZEALAND JOURNAL HORTICULTURAL SCIEN vol. 23, no. 3, 199 XP008001672 ISSN: 0114-0671 * the whole documen	OF CROP AND CE, 5, pages 239-250,	1-15	CUTK
	The supplementary search repo set of claims valid and available	-/ rt has been based on the last at the start of the search.		
	Place of search	Date of completion of the search		Examiner
	THE HAGUE	22 April 2002	Buci	ka, A
X : parti Y : parti docu A : tech O : non-	ATEGORY OF CITED DOCUMENTS icularly relevant if taken alone cularly relevant if tombined with anotyment of the same category nological background—written disclosure mediale document	T : theory or principl E : earlier patent do after the filing da	e underlying the incument, but publiste the application or other reasons	nvention shed on, or

Application Number EP 00 93 2149

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Category	Citation of document with i of relevant pass	ndication, where appropriate, sages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
A	DONG ET AL: "Agrob transformation of a MOLECULAR BREEDING: PLANT IMPROVEMENT, PUBLISHERS, NL, vol. 2, no. 3, 1996 XP002124032 ISSN: 1380-3743 * page 268; figure	avanica rice" NEW STRATEGIES IN KLUWER ACADEMIC , pages 267-276,	1-15	
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	Place of search	Date of completion of the search	<del>l</del>	Examiner
	THE HAGUE	22 April 2002	Ruck	ka, A
X : parti Y : parti docu A : tech	ATEGORY OF CITED DOCUMENTS cularly relevant if taken alone cularly relevant if combined with anol ment of the same category nological background -written disclosure mediale document	T : theory or princ E : earlier palent after I he filing D : document cite L : document cite	iple underlying lhe in document, but publis date d in the application d for other reasons	ivention hed on, or

# ANNEX TO THE EUROPEAN SEARCH REPORT ON EURO N PATENT APPLICATION NO.

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

22-04-2002

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				WO	9837212	A1	27-08-1998
				JP	2000509612	T	02-08-2000

IPC(7) US CL	IPC(7) :A01H 1/00; C07H 21/04; C07K 14/415; C12N 5/04, 5/14, 9/00, 15/00 US CL :435/419, 252.3, 320.1; 530/370; 536/23.2, 23.6; 800/278, 294, 300 According to International Patent Classification (IPC) or to both national classification and IPC								
	LDS SEARCHED								
Minimum d	ocumentation searched (classification system followers	ed by classification symbols)							
U.S. : 435/419, 252.3, 320.1; 530/370; 536/23.2, 23.6; 800/278, 294, 300									
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched									
Electronic d	lata base consulted during the international search (n	ame of data base and, where practicable	e, search terms used)						
STN, WE	•								
C. DOC	UMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.						
Y	US 5,424,412 A (BROWN et al.) document.	13 June 1995, see entire	1-15						
Y	US 5,767,377 A (NAKAJIMA et al document.	.) 16 June 1998, see entire	1-15						
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			·						
Furth	er documents are listed in the continuation of Box C	See patent family annex.							
	ecial categories of cited documents cument defining the general state of the art which is not considered	*T* later document published after the inte date and not in conflict with the appli the principle or theory underlying the	cation but cited to understand						
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*O* 400	icial reason (as specified)  cument referring to an oral disclosure, use, exhibition or other  ans	"Y" document of particular relevance; the considered to involve an inventive combined with one or more other such being obvious to a person skilled in the	step when the document is documents, such combination						
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Date of the	actual completion of the international search	Date of mailing of the international sea	rch report						
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From the INTERNATIONAL SEARCHING AUTHORITY

To: LISA V. MUELLER ROCKEY, MILNAMOW & KATZ, L TWO PRUDENTIAL PLAZA



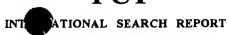
Rockey, Milnamow & Katz, Ltd.

180 NORTH STETSON, SUITE 4700 CHICAGO, IL 60601	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION					
	Date of Mailing (day/month/year)					
Applicant's or agent's file reference	9 AUG 2000					
SVS3801031CP	FOR FURTHER ACTION See paragraphs 1 and 4 below					
International application No.	International filing date					
PCT/US00/12463	(day/month/year) 05 MAY 2000					
Applicant SEMINIS VEGETABLE SEEDS, INC.						
Filing of amendments and statement under Article	search report has been established and is transmitted herewith. e 19: he claims of the international application (see Rule 46):					
	ents is normally 2 months from the date of transmittal of the more details, see the notes on the accompanying sheet.					
Where? Directly to the International Bureau of W 34, chemin des Colombet 1211 Geneva 20, Switzer Facsimile No.: (41-22) 74	tes land					
For more detailed instructions, see the notes on	the accompanying sheet.					
2. The applicant is hereby notified that no international Article 17(2)(a) to that effect is transmitted herewith.	search report will be established and that the declaration under					
3. With regard to the protest against payment of (an)	additional fee(s) under Rule 40.2, the applicant is notified that:					
applicant's request to forward the texts of both	as been transmitted to the International Bureau together with the the protest and the decision thereon to the designated Offices.					
no decision has been made yet on the protest;	the applicant will be notified as soon as a decision is made.					
4. Further action(s): The applicant is reminded of the following	lowing:					
Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.						
Within 19 months from the priority date, a demand for int wishes to postpone the entry into the national phase unt	ternational preliminary examination must be filed if the applicant iil 30 months from the priority date (in some Offices even later).					
	ust perform the prescribed acts for entry into the national phase ed in the demand or in a later election within 19 months from the not bound by Chapter II.					
Name and mailing address of the ISA/US  Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer PHUONE BUT COLOR					
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Form PCT/ISA/220 (July 1998)★

(See notes on accompanying sheet)

## PCT



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference SVS38010310P	FOR FURTHER ACTION		Transmittal of International Search Report 0) as well as, where applicable, item 5 below.					
International application No.	International filing date	(day/month/year)	(Earliest) Priority Date (day/month/year)					
PCT/US00/12463	05 MAY 2000		05 MAY 1999					
Applicant SEMINIS VEGETABLE SEEDS, INC.								
This international search report has bee according to Article 18. A copy is bein	• •	_	thority and is transmitted to the applicant					
This international search report consists	of a total of A sheets							
X It is also accompanied by a c	opy of each prior art doc	ument cited in this r	ерогт.					
1. Basis of the report								
			sis of the international application in the					
language in which it was filed, the international search was Authority (Rule 23.1(b)).			e international application furnished to this					
b. With regard to any nucleotide a was carried out on the basis of		ce disclosed in the in	ternational application, the international search					
contained in the international	application in written for	rm.						
filed together with the intern	ational application in com	puter readable form	ı.					
furnished subsequently to the	s Authority in written for	m.						
furnished subsequently to the	s Authority in computer	readable form.						
the statement that the subsequinternational application as f		equence listing does	not go beyond the disclosure in the					
		readable form is iden	tical to the written sequence listing has been					
2. Certain claims were found	unsearchable (See Box	Ŋ.						
3. Unity of invention is lacking	g (See Box II).							
4. With regard to the title,			1					
X the text is approved as subm	itted by the applicant.		10					
the text has been established	by this Authority to read	as follows:						
5. With regard to the abstract,								
X the text is approved as subm	itted by the applicant.							
Box III. The applicant may,	the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.							
6. The figure of the drawings to be po	ablished with the abstract	is Figure No.						
as suggested by the applicar	ıt.		None of the figures.					
because the applicant failed	to suggest a figure.							
because this figure better ch								

Form PCT/ISA/210 (first sheet) (July 1998)\*

To: LISA V. MUELLER ROCKEY, MILNAMOW & KAT	Z, LTD.		PCT	
TWO PRUDENTIAL PLAZA 180 NORTH STETSON, SUITE 4 CHICAGO, IL 60601	4700 REG	EVEL	WRITTEN OPINION	
	MA	Y - 4 2001	(PCT Rule 66)	
	Rockey, M	ilnamow & Katz. Lid	REPLY DUE 6/27	
		Date of Mailing (day/month/year)	27 APR 2001	
Applicant's or agent's file reference SVS38010310P			vithin TWO months from the above date of mailing	
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)	
PCT/US00/12463	05 MAY 2000		05 MAY 1999	
International Patent Classification (IPC) Please See Supplemental Sheet.	or both national classific	cation and IPC		
Applicant SEMINIS VEGETABLE SEEDS, INC	с.			
1. This written opinion is the first	(first, etc.)	drawn by this Intern	ational Preliminary Examining Authority.	
2. This opinion contains indications re				
I X Basis of the opinion				
-				
II Priority	e a alada a missi a a a a sa		and the second and the second	
III Non-establishment of opinion with regard to novelty, inventive step or industrial applicability				
IV Lack of unity of inve				
Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
VI Certain documents cited				
VII Certain defects in the international application				
VIII Certain observations on the international application				
3. The applicant is hereby invited to r				
When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).				
How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.				
Also For an additional opportunity to submit amendments, see Rule 66.4.  For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.  For an informal communication with the examiner, see Rule 66.6.  If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.				
	•	tion report will be es	stablished on the basis of this opinion.	
4. The final date by which the interna examination report must be established	tional preliminary shed according to Rule 6	59.2 is: 05 SEPTEM	IBER 2001	
Name and mailing address of the IPEA	/US	Authorized office	I Ma The B	
Commissioner of Patents and Trader Box PCT		PHUONG BU		
Washington, D.C. 20231				
Facsimile No. (703) 305-3230 Telephone No. (703) 308-0196				



#### WRITTEN OPINION

International	application	No

### PCT/US00/12463

I. Basis of the opinion	<u> </u>
1. With regard to the elements of the international application:*	
	•• •
at description	
141	as originally filed
pages	, as originally fried
pages NONE , filed with the letter of	
, med with the letter of	
X the claims:	
	, as originally filed
pages, as amended (together with	any statement) under Article 19
pages NONE	, filed with the demand
pages, filed with the letter of	
X the drawings:	
pages	, as originally filed
Pageo	, filed with the demand
pages, filed with the letter of	
X the sequence listing part of the description:	
pages NONE	as originally filed
pages NONE	, filed with the demand
pages NONE , filed with the letter of	
the language of a translation furnished for the purposes of international sea  the language of publication of the international application (under Rule 48.  the language of the translation furnished for the purposes of international preliminal or 55.3).	3(b)).
<ol> <li>With regard to any nucleotide and/or amino acid sequence disclosed in the international drawn on the basis of the sequence listing:</li> </ol>	al application, the written opinion was
contained in the international application in printed form.	
filed together with the international application in computer readable form.	
furnished subsequently to this Authority in written form.	
furnished subsequently to this Authority in computer readable form.	
The statement that the subsequently furnished written sequence listing does not international application as filed has been furnished.	t go beyond the disclosure in the
The statement that the information recorded in computer readable form is identical been furnished.	to the writen sequence listing has
4 X The amendments have resulted in the cancellation of:	
X the description, pages NONE	
the claims, NosNONE	
X the drawings, sheets/fig NONE	
5. This opinion has been drawn as if (some of) the amendments had not been made, si beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).	
* Replacement sheets which have been furnished to the receiving Office in response to an invition in this opinion as "originally filed".	ration under Article 14 are referred to



International application No.

PCT/US00/12463

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	statement			
	Novelty (N)	Claims	5, 6, 9-15	YES
	• . ,		1-4, 7, 8	NO NO
	Inventive Step (IS)	Claims	NONE	YES
		Claims	1-15	NO
	Industrial Augliockility (IA)	Claims	1-15	YES
	Industrial Applicability (IA)	Claims	NONE	NO NO
		7.2		

#### 2. citations and explanations

Claims 1-4, 7 and 8 lack novelty under PCT Article 33(2) as being anticipated by Eady et al. (Plant Cell Reports, 1996, Vol. 15, p. 958-962). Eady teaches a method for transforming Allium cepa with a heterologous gene by contacting immature embryogenic callus material from Allium cepa with Agrobacterium tumefaciens transformation vector. Agrobacterium tumefaciens inherently possessing a Ti plasmid. Accordingly, Eady anticipated the claimed invention.

Claims 1-15 lack an inventive step under PCT Article 33(3) as being obvious over Eady et al. in view of Brown et al. (US Pat. No. 5,424,412). The teachings of Eady have been discussed above. Eady further teaches transforming Allium cepa by the recited steps set forth in claim 9, the only differences being that Eady teaches monthly subculturing instead of Applicant's specific 2-6 months; and incubating with Agrobacterium tumefaciens for 5 days instead of Applicant's 2-4 days. However, Eady's monthly subculturing is encompassed by Applicant's 2-6 months, since the desired result is the same: formation of callus tissue. Furthermore, there does not appear to be unexpected or surprising results with incubating with Agrobacterium for 2-4 days or 5 days, since the desired result here is also the same: plant tissue transformation by Agrobacterium. 2-4 days or 5 days is routine optimization of experimental parameters absent evidence to the contrary. Thus monthly subculturing and 5 days incubating is, for all intent and purpose, functionally equivalent to Applicant's 2-6 months and 2-4 days, respectively. Eady does not teach transformation with the EPSPS gene. Applicant should note that the "modified EPSPS gene" is considered by the Office to be the same as an unmodified EPSPS gene since Applicant does not indicate how the modified EPSPS gene differs from one which is not modified. Brown teaches expression of a heterologous EPSPS (EPSP synthase) in plants to increase plant tolerance to glyphosate-containing herbicides (col. 6). The plants of Brown include onion (Allium) embryogenic callus material (cols. 7-8). Accordingly, one skilled in the art at the time the invention was made would have been motivated transform the plant of Eady with the EPSPS gene of Brown to express the enzyme necessary to (Continued on Supplemental Sheet.)



#### WRITTEN OPINION

International application No.

PCT/US00/12463

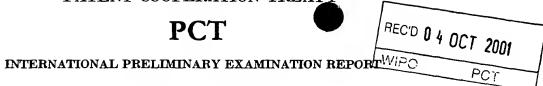
Supplemental Box (To be used when the space in any of the preceding boxes is not sufficient)	
Continuation of: Boxes I - VIII	Sheet 10
TIME LIMIT:  The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). At received after the expiration of the time limit set in the Written Opinion will not be considered in preparing Preliminary Examination Report.	

#### CLASSIFICATION:

The International Patent Classification (IPC) and/or the National classification are as listed below: IPC(7): A01H 1/00; C07H 21/04; C07K 14/415; C12N 5/04, 5/14, 9/00, 15/00 and US C1.: 435/419, 252.3, 320.1; 530/370; 536/23.2, 23.6; 800/278, 294, 300

## PATENT COOPERATION TREATY

# **PCT**



(PCT Article 36 and Rule 70)

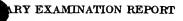
Applicant's or agent's file reference	FOR FURTHER ACTION	ACTION See Notification of Transmittal of International		
SVS\$8010\$10P	Preliminary Examination Report (Form			
International application No. International filing da		nonth/year) Priority date (day/month/year)		
PCT/US00/12463	05 MAY 2000	05 MAY 1999		
International Patent Classification (IPC) Please See Supplemental Sheet.	or national classification and IF	oc .		
Applicant SEMINIS VEGETABLE SEEDS, INC.				
Examining Authority and is	transmitted to the applicant	been prepared by this International Preliminary according to Article 36.		
2. This REPORT consists of a	total of sheets.			
been amended and are the		ots of the description, claims and/or drawings which have bets containing rectifications made before this Authority. Instructions under the PCT).		
These annexes consist of a tot	al of O sheets.	İ		
3. This report contains indication	s relating to the following ite	ems:		
I X Basis of the report				
II Priority				
Mon-establishment of report with regard to novelty, inventive step or industrial applicability				
IV Lack of unity of invention				
V X Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability, citations and explanations supporting such statement				
VI Certain documents cited				
VII Certain defects in the international application				
VIII Certain observations on the international application				
Date of submission of the demand	Date	of completion of this report		
04 DECEMBER 2000	16	AUGUST 2001		
Name and mailing address of the IPEA/		rized officer		
Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  PHUONG BUI		HUONG BUI (LUY (MIL)		
Facsimile No. (703) 305-3230 Telephone No. (703) 308-0196				

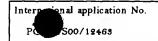
Form PCT/IPEA/409 (cover sheet) (July 1998)\*

Interpretional application No.
PS S00/12463

I. B	asis of t	he report	
1 With	negard to	the elements of the international applicati	on:*
[x]	_	mational application as originally fi	
		cription:	•••
[X]			, as originally filed
	pages		, filed with the demand
			, filed with the letter of
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	pages _		, as originally filed , as amended (together with any statement) under Article 19
	pages _		, as amended (together with any statement) under Article 19
	pages _		with the letter of, The wind the demand
		,	
x	the dra	wings:	
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	pages_		, filed with the demand
	pages _	NONE	, filed with the letter of
च	th		
X	pages	uence listing part of the description: NONE	, as originally filed
		<del></del>	, as originally fried , filed with the demand
			, filed with the letter of
	the lang	guage of publication of the internation	ne purposes of international search (under Rule 23.1(b)).  nal application (under Rule 48.3(b)).  purposes of international preliminary examination (under Rules 55.2 and/
3. Wit	or 55.3). h regard	to any nucleotide and/or amino acid	sequence disclosed in the international application, the international
	-	examination was carried out on the b	•
	containe	ed in the international application in	printed form.
		gether with the international applicat	The state of the s
	furnishe	d subsequently to this Authority in v	written form.
	furnished subsequently to this Authority in computer readable form.		
		ement that the subsequently furnished onal application as filed has been furn	written sequence listing does not go beyond the disclosure in the ished.
	The state been fun	ement that the information recorded in conished.	omputer readable form is identical to the writen sequence listing has
4. X	The am	endments have resulted in the cance	llation of:
	X th	e description, pagesNONE	
	X th	e claims, Nos. NONE	*
	$\overline{}$	e drawings, sheets/ <del>fig</del> NONE	
5.	-	· · · · · · · · · · · · · · · · · · ·	nendments had not been made, since they have been considered to go
in th	acement s	the disclosure as filed, as indicated in the heets which have been furnished to the rece as "originally filed" and are not annex.	e Supplemental Box (Rule 70.2(c)).** eiving Office in response to an invitation under Article 14 are referred to ed to this report since they do not contain amendments (Rules 70.16
		tent sheet containing such amendments r	nust be referred to under item 1 and annexed to this report.

#### INTERNATIONAL PRELI





V.	Reasoned statement under Article 35(2) with regard t	novelty, inventive step or industrial applicability;
	citations and explanations supp rting such statement	•

1. statement			
Novelty (N)	Claims	5, 6, 9-15	YES
	Claims	1-4, 7, 8	NO
Inventive Step (IS)	Claims	NONE	YES
	Claims	1-15	NO NO
Industrial Applicability (IA)	Claims	1-15	YES
	Claims	NONE	NO

#### 2. citations and explanations (Rule 70.7)

Claims 1-4, 7 and 8 lack novelty under PCT Article \$3(2) as being anticipated by Eady et al. (Plant Cell Reports, 1996, Vol. 15, p. 958-962). Eady teaches a method for transforming Allium cepa with a heterologous gene by contacting immature embryogenic callus material from Allium cepa with Agrobacterium tumefaciens transformation vector. Agrobacterium tumefaciens inherently possessing a Ti plasmid. Accordingly, Eady anticipated the claimed invention.

Claims 1-15 lack an inventive step under PCT Article 33(5) as being obvious over Eady et al. in view of Brown et al. (US Pat. No. 5,424,412). The teachings of Eady have been discussed above. Eady further teaches transforming Allium cepa by the recited steps set forth in claim 9, the only differences being that Eady teaches monthly subculturing instead of Applicant's specific 2-6 months; and incubating with Agrobacterium tumefaciens for 5 days instead of Applicant's 2-4 days. However, Eady's monthly subculturing is encompassed by Applicant's 2-6 months, since the desired result is the same: formation of callus tissue. Furthermore, there does not appear to be unexpected or surprising results with incubating with Agrobacterium for 2-4 days or 5 days, since the desired result here is also the same: plant tissue transformation by Agrobacterium. 2-4 days or 5 days is routine optimization of experimental parameters absent evidence to the contrary. Thus monthly subculturing and 5 days incubating is, for all intent and purpose, functionally equivalent to Applicant's 2-6 months and 2-4 days, respectively. Eady does not teach transformation with the EPSPS gene. Applicant should note that the "modified EPSPS gene" is considered by the Office to be the same as an unmodified EPSPS gene since Applicant does not indicate how the modified EPSPS gene differs from one which is not modified. Brown teaches expression of a heterologous EPSPS (EPSP synthase) in plants to increase plant tolerance to glyphosate-containing herbicides (col. 6). The plants of Brown include onion (Allium) embryogenic callus material (cols. 7-8). Accordingly, one skilled in the art at the time the invention was made would have been motivated transform the plant of Eady with the EPSPS gene of Brown to express the enzyme necessary to (Continued on Supplemental Sheet.)

# INTERNATIONAL PRELICENCY EXAMINATION REPORT

Supplemental B x (To be used when the space in any of the preceding boxes is not sufficient)	
Continuation of: Boxes I - VIII	Sheet 10
CLASSIFICATION:  The International Patent Classification (IPC) and/or the National classification a IPC(7): A01H 1/00; C07H 21/04; C07K 14/415; C12N 5/04, 5/14, 9/00, 15/00 and U 530/370; 536/23.2, 23.6; 800/278, 294, 300	are as listed below: S Cl.: 435/419, 252.3, 320.1;
V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued) increase plant tolerance to glyphosate-containing herbicides with a reasonable expectation	of success.
NEW CITATIONS	
NONE	
·	
·	



#### REQUEST

. or ref	Office use only	
International Application No.		
	• . •	
International Filing Date	· ;-	
	**	
Name of receiving Office and "Po	CT International Application"	

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty. Applicant's or agent's file reference SVS38010310PCT (if desired) (12 characters maximum) Box No. I TITLE OF INVENTION Transformation of Allium sp. with Agrobacterium Using Embryogenic Callus Cultures Box No. II **APPLICANT** Name and address: (Family name followed by given name; for a legal entity, full official The address must include postal code and name of country. The country of the address indicated in this This person is also inventor. Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Seminis Vegetable Seeds, Inc. Telephone No. 1905 Lirio Avenue Saticoy, CA 93004 Facsimile No. Teleprinter No. State (that is, country) of nationality: State (that is, country) of residence: United States of America United States of America all designated States except the United States of America This person is applicant all designated the United States the States indicated in the Supplemental Box for the purposes of: States of America only FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) Box No. III Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this This person is: Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Reynolds, John applicant only 600 Schmeiser Avenue Davis, CA 95616 applicant and inventor inventor only (If this check-box is marked, do not fill in below.) State (that is, country) of residence: State (that is, country) of nationality: United States of America United States of America all designated States all designated States except the United States of America only the States indicated in This person is applicant the United States for the purposes of: the Supplemental Box Further applicants and/or (further) inventors are indicated on a continuation sheet. AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE Box No. IV The person identified below is hereby/has been appointed to act on behalf common representative agent of the applicant(s) before the competent International Authorities as: Name and address: (Family name followed by given name; for a legal entity, full official Telephone No. designation. The address must include postal code and name of country.) (312) 616-5400 Mueller, Lisa V. Facsimile No. Rockey, Milnamow & Katz, Ltd. (312) 616-5460 Two Prudential Plaza 180 North Stetson, Suite 4700 Teleprinter No. Chicago, Illinois 60601 U.S.A. Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.





#### Supplemental Box

If the Supplemental Box is not used, this sheet need not be included in the request.

- 1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
  - (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
  - (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV:
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V., the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;
- (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- if, in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify (vii) the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudical disclosures or exceptions to lack of novelty" and furnish that statement below.

#### **Continuation of Box IV**

Chapa, Lawrence

Elliott, Thomas

Erickson, Randal

Geimer, Steve D.

Hoover, Allen J.

Katz, Martin L.

Lyons, Kathleen A.

Milnamow, John P.

Odell, Paul M.

Polit, Robert B.

Ramesh, Elaine M.

Rockey, Keith V.

Rollins, John

Ross, Thomas I.

Scott, Ted R.

Siegel, Joel

Vargo, Paul V.

Box N	lo.	V DESIG	NATION OF	Je 2							
The f	ollo	owing designat	ions are hereby ma	de under Rule 4.9(a)	(mark	the ap	pplicable check-boxes; at least one must be marked):				
		l Patent									
	ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare										
X E	A	Protocol and of the PCT  Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent									
K E	P	Convention and of the PCT European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent									
<b>X</b> 0	4	Convention and of the PCT  OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)									
National Patent (if other kind of protection or treatment desired, specify on dotted line):											
_		United Arab E		•			Liberia				
X A	L	Albania	<b></b>								
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							Luxembourg				
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X BC	•	Bulgaria			X	MK	The former Yugoslav Republic of Macedonia				
X BF	t	Brazil									
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X CA	L	Canada					Malawi				
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		Republic of Korea									
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_		Sri Lanka		addition to the de							
design	ıtic	ons which would	tion Statement: In	ler the PCT except an	nauon v dee	s mad	le above, the applicant also makes under Rule 4.9(b) all other on(s) indicated in the Supplemental Box as being excluded				
from th	ic	scope of this s	tatement. The app	licant declares that t	hose :	additio	onal designations are subject to confirmation and that any				
designa	designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant										

Sheet No. . . . . .

Box No. VI PRIORITY C	TAIM		urther priority	alaima and in	ha C				
Filing date	LANV	Number	T		the Supplemental Box.				
of earlier application (day/month/year)	of earlier application		national application; country	Where earlier application regional application:* regional Office	international application: receiving Office				
item (1) 05 MAY 1999	60/132	2,617	U.S.		-				
item (2)									
item (3)			·						
The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s):  * Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.									
Box No. VII INTERNATIO	ONAL S	EARCHING AU	THORITY						
Choice of International Searching (if two or more International Se competent to carry out the international Authority chosen; the two-letter code ISA/US	arching ional sear	Authorities are ch, indicate the	Request to use results of ear search has been carried out by o Date (day/month/year)	or requested from the Internation					
	r· LANC	GUAGE OF FILI	NG						
This international application co	ontains	This internation	al application is accompa	nied by the item(s) mark	ced below:				
request :	4	1.  fee calculate	ation sheet igned power of attorney						
description (excluding)	*		eneral power of attorney; r	afaranca number if anu					
sequence listing part) :	17		explaining lack of signatu						
claims :	2		ocument(s) identified in Bo						
abstract :	1		of international application						
drawings :	0		ndications concerning depo		other biological material				
sequence listing part of description :	0	8. nucleotide 9. other (spe	and/or amino acid sequer	nce listing in computer re	adable form				
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Figure of the drawings which should accompany the abstract:		Lan inte	guage of filing of the mational application:	e Eng	lish				
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Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).  Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).									
Date of actual receipt of the particular actual receipt of th	purported	For rece	iving Office use only		2. Drawings:				
international application:  3. Corrected date of actual receitmely received papers or drapurported international applic	wings co	o later but completing the			received:				
Date of timely receipt of the corrections under PCT Articl	required				not received:				
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PCT	For receiving Office use only								
FEE CALCULATION SHEET									
Annex to the Request	International application No.								
•									
Applicant's or agent's file reference SVS38010310pct	Date stamp of the receiving Office								
Applicant Seminis Vegetable Seeds, Inc.									
CALCULATION OF PRESCRIBED FEES									
1. TRANSMITTAL FEE									
2. SEARCH FEE	450.00 S								
International search to be carried out by <u>US</u> (If two or more International Searching Authorities are competent in relation	n to the international								
application, indicate the name of the Authority which is chosen to carry out the in	ternational search.)								
3. INTERNATIONAL FEE	<u> </u>								
Basic Fee The international application contains									
first 30 sheets	bl								
x=	b2								
remaining sheets additional amount									
Add amounts entered at b1 and b2 and enter total at B 427.00 B									
Designation Fees									
The international application contains 82 designations.									
8 x 92.00 = 173 number of designation fees amount of designation fee	6.00 D								
payable (maximum 8)									
Add amounts entered at B and D and enter total at I	1,163.00 I								
(Applicants from certain States are entitled to a reduction of 75% international fee. Where the applicant is (or all applicants are) so entitle total to be entered at I is 25% of the sum of the amounts entered at B ar	of the od, the od of the o								
4. FEE FOR PRIORITY DOCUMENT (if applicable)	15.00 P								
5. TOTAL FEES PAYABLE	1.868.00								
Add amounts entered at T, S, I and P, and enter total in the TOTAL b									
The designation fees are not paid at this time.									
MODE OF PAYMENT									
authorization to charge bank draft	coupons								
deposit account (see below)  cheque  cash	other (specify):								
postal money order revenue stamps	Canal (speedy).								
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DEPOSIT ACCOUNT AUTHORIZATION (this mode of payment may not be available at all receiving Offices)  The RO/ US is hereby authorized to charge the total fees indicated above to my deposit account.									
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#### PATENT ABSTRACTS OF JAPAN

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(21) Application number: 02277686 (71) Applicant: WAKUNAGA PHARMACEUT CO LTD

(22) Date of filing: 18.10.90 (72) Inventor: SUMI SHINICHIRO FURUYA HIROAKI

#### (54) STRAND-LIKE VIRAL GENE

#### (57) Abstract:

NEW MATERIAL: The title gene having an amino acid sequence of formula I or formula II.

USE: For example, genetic diagnoses for virus infected with garlic.

PREPARATION: Using, as template, virus RNA obtained from purified virus, cDNA is synthesized with ol;go(dT) as primer, thus obtaining cDNA clone.

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